Document 16

Filed 11/27/2007

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TO:

Commissioner of Patents and Trademarks Washington, DC 20231 FILED REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 § 290 and/or 15 U.S.C. § 1 100 or thereby advised that a court action has been

filed in the U.S. District Court Central District of California on the following AL P Patents or Trademarks:

DECKETNO7 36	BATE FILEDON MON	U.S. DISTRICT COURTS LES
PLAINTIFF	'arner Bros. Entertainment, Ir	Inc. and El Sol Trading, Woo Sung Lim and Does 1-10, inclusive,
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 2.284,470	1,370,105	See Attached Exhibits
2 a,707,59a	1,341,864	
3 2,957,611	1,305,637	
4 1,362,142	2,053.346	
5 1,364,632	1,985,358	

In the above—entitled case, the following patent(s) have been included:

DATE INCLUDED	INCLUDED BY	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	G Answer G Cross Bill G Other Pleading HOLDER OF PATENT OR TRADEMARK
1 1,391,550	1,289,682	
2 1,215,436	1,086.837	
3 1,279,486	1,294,163	
4 1,200,083	1,393,190	DOCKETED ON CM
ובד, דרגו ב	382,770	
In the ab	pove—entitled case, the following decision	
DECISION/JUDGEMENT		

CLERK	(BY) DEPUTY CLERK	DATE	
	<del></del>	<u></u>	7

Copy 1—Upon initiation of action, mail this copy to Commissioner Copy 3—Upon termination of action, mail this copy to Commissioner Copy 2—Upon filing document adding patent(s), mail this copy to Commissioner Copy 4—Case file copy

FILE COPY

	International		Registration
Trademark	Class	Registration Date	Number
Badtz Maru	16	October 12, 1999	2,284,470
Chococat	16	April 15, 2003	2,707,592
Cinnamoroll	16	May 31, 2005	2,957,611
Hello Color (D)	28	September 24, 1985	1,362,142
Hello Color (D)	28	October 8, 1985	1,364,632
Hello Kitty	16	April 29, 1986	1,391,550
Hello Kitty	16	November 9, 1982	1,215,436
Hello Kitty	16	May 29, 1984	1,279,486
Hello Kitty	28	April 29, 1986	1,391,550
Hello Kitty (D)	28	July 6, 1982	1,200,083
Hello Kitty (D)	28	May 15, 1984	1,277,721
Hello Kitty (D)	28	November 12, 1985	1,370,105
			Serial Number
Kerokerokeroppi	16	n/a	76601709
			Serial Number
Keroppi	16	n/a	76602813
Little Twin Stars	16, 28	June 18, 1985	1,341,864
My Melody	16, 28	November 20, 1984	1,305,637
Pekkle	16	April 15, 1997	2,053,346
Pochacco	16	July 9, 1996	1,985,358
Sanrio Logo Mark	28	August 14, 1984	1,289,682
Sanrio Logo Mark	28,41	March 7, 1978	1,086,837
			Serial Number
Tuxedo Sam	18	n/a	76613572
Tuxedo Sam	28	September 11, 1984	1,294,163
Tuxedo Sam	28	May 13, 1986	1,393,190

#### **Trademark Registrations**

	Trademark Registrations  Trademark Trademark Registration					
Trademark	Registration No.	Date				
Trademark	382,770 (Serial	Date				
	Number					
Bat Man	71430950)	November 12, 1940				
Bacivian	804709 (Serial	November 12, 1940				
	Number					
Batman	72218464)	March 1 1066				
Daman	839561 (Serial	March 1, 1966				
	Number					
Datus		Navarrilar 28, 1007				
Batman	72256580)	November 28, 1967				
	1221720 (Serial					
<b>.</b>	Number					
Batman	73363779)	December 28, 1982				
Batman	1,587,507	March 20, 1990				
Batman & Robin	2,171,937	July 7, 1998				
	2404483 (Serial	İ				
	Number					
Batman & Robin	75301608)	November 14, 2000				
	2491335 (Serial					
	Number					
Batman Beyond	75786273)	September 18, 2001				
Batman Beyond	2,762,067	September 9, 2003				
	1565883 (Serial					
	Number					
Catwoman	73776479)	November 14, 1989				
Catwoman	3,181,586	December 5, 2006				
Daily Planet	3,018,523	November 22, 2005				
	1190637 (Scrial					
	Number					
Jimmy Olsen	73190491)	February 23, 1982				
	1503856 (Serial	<u> </u>				
	Number					
Justice League	73684246)	September 13, 1988				
Justice League	2,992,012	September 20, 2005				
	1190681 (Serial					
	Number					
Justice League of America	73294239)	February 23, 1982				
	1168306 (Serial					
	Number					
Krypto	73213453)	September 8, 1981				
14171400	13213133)	Deptember 6, 1701				

#### **Trademark Registrations**

Trademark Registrations				
	1634007 (Serial			
	Number			
Lex Luthor	73813018)	February 5, 1991		
_	1184702 (Serial	-		
	Number			
Lois Lane	73190489)	January 5, 1982		
	1184703 (Serial			
	Number			
Perry White	73190490)	January 5, 1982		
	1930901 (Serial			
	Number			
Robin	74521173)	October 31, 1995		
	1173150 (Serial			
	Number			
S	73173809)	October 13, 1981		
	1178048 (Serial			
	Number			
S	73231822)	November 17, 1981		
	1182041 (Serial			
	Number			
S	73231823)	December 15, 1981		
S	1,197,814	June 15, 1982		
S	1,200,233	July 6, 1982		
S	1,200,394	July 6, 1982		
S	2,226,415	February 23, 1999		
	391,821 (Serial			
	Number			
S Superman	71444138)	November 25, 1941		
	2809352 (Serial			
	Number			
Smallville	78107418)	January 27, 2004		
Smallville	2,882,881	September 7, 2004		
	1180088 (Scrial			
	Number			
Super Friends	73198417)	December 1, 1981		
Super Friends	2,861,443	July 6, 2004		
	394923 (Serial	1,,		
	Number			
Superboy	71448096)	May 5, 1942		
	414623 (Serial			
	Number			
Super Girl	71476278)	June 19, 1945		
Oupor One	11370270)	1 20116 175 1777		

# Document 16 DC Comics

#### **Trademark Registrations**

Trademark Registrations			
	371,803 (Serial		
	Number		
Superman	71410024)	October 10, 1939	
Superman	1,175,907	November 3, 1981	
Superman	1,180,068	December 1, 1981	
Superman	1,185,526	January 12, 1982	
	1200394 (Serial		
	Number		
Superman	73231898)	July 6, 1982	
	1209668 (Serial		
	Number		
Superman	73231897)	September 21, 1982	
	1221718 (Serial		
	Number		
Superman	73363768)	December 28, 1982	
	2226026 (Serial		
	Number		
Superman	75432535)	February 23, 1999	
	411871 (Serial		
	Number		
Superman Superhombre	71473637)	February 6, 1945	
	394922 (Serial		
	Number		
Superwoman	71448076)	May 5, 1942	
	378,913 (Serial		
	Number		
The Bat Man	71425642)	June 25, 1940	
The Riddler	2,432,437	March 6, 2001	
	820334 (Serial		
	Number		
Wonder Woman	72237867)	December 13, 1966	
	1221717 (Serial		
	Number		
Wonder Woman	73363767)	December 28, 1982	

	Mark Drawing	Registration	
Trademark	Code	Number	Registration Date
	WORDS,		
	LETTERS,		
	AND/OR		
]	NUMBERS IN		
	STYLIZED		
Harry Potter	FORM	2,685,932	February 11, 2003
	TYPED		
Harry Potter	DRAWING	2,450,788	May 15, 2001
Harry Potter			
and the	STANDARD		
Chamber of	CHARACTER	·	
Secrets	MARK	2,945,660	May 3, 2005
Harry Potter	STANDARD		
and the Deathly	CHARACTER	Serial Number	
Hallows	MARK	77069834	n/a
Harry Potter	STANDARD		
and the Goblet	CHARACTER		
of Fire	MARK	3,091,030	May 9, 2006
Harry Potter	STANDARD		
and the Half	CHARACTER	Serial Number	
Blood Prince	MARK	78443784	n/a
Harry Potter	STANDARD		
and the Order	CHARACTER	Serial Number	
of the Phoenix	MARK	78448774	n/a
Harry Potter			
and the			
Prisoner of	TYPED		
Azkaban	DRAWING	2,921,624	January 25, 2005

Trademark	Mark Drawing Code	Registration Number	Registration Date
	DESIGN PLUS		
	WORDS,		
	LETTERS,		
	AND/OR		
HP	NUMBERS	2,589,488	July 2, 2002
Albus	TYPED		
Dumbledor	DRAWING	2,702,878	April 1, 2003
Golden Snitch		n/a	
	TYPED		
Gryffindor	DRAWING	2,480,839	August 21, 2001
	TYPED		at 18
Hagrid	DRAWING	2,462,801	June 19, 2001
Nimbus Two	TYPED		
Thousand	DRAWING	2,538,572	February 12, 2002
	TYPED		
Quidditch	DRAWING	2,483,584	August 28, 2001
	TYPED		
Ravenclaw	DRAWING	2,508,007	November 13, 2001
	TYPED		
Ron Weasley	DRAWING	2,735,069	July 8, 2003
	TYPED		
Slytherin	DRAWING	2,525,903	January 1, 2002
	TYPED		
Hogwarts	DRAWING	2,550,774	March 19, 2002
Looney Tunes	TYPED		
Back in Action	DRAWING	2,824,115	March 16, 2004
	TYPED		
Looney Tunes	DRAWING	1,574,797	January 2, 1990

Mark Drawing Code	Registration Number	Registration Date
	2.062.712	May 20, 1997
TYPED	,,	
DRAWING	2,000,037	September 10, 1996
TYPED		
DRAWING	2,079,988	July 15, 1997
TYPED		- "
DRAWING	2,003,208	September 24, 1996
TYPED		
DRAWING	2,033,589	January 28, 1997
TYPED		
DRAWING	2,639,890	October 22, 2002
	1,997,174	August 27, 1996
— —		
	2,702,291	April 1, 2003
	2,046,053	March 18, 1997
		September 3, 1996
	78142384	n/a
DRAWING	1,838,318	May 31, 1994
TVDED	2838386 (Serial	
		May 4, 2004
	Code TYPED DRAWING	Code         Number           TYPED         2,062,712           TYPED         2,000,037           TYPED         2,079,988           TYPED         2,003,208           TYPED         2,033,589           TYPED         2,639,890           TYPED         0RAWING         2,639,890           TYPED         0RAWING         1,997,174           TYPED         0RAWING         2,702,291           TYPED         0RAWING         2,046,053           TYPED         0RAWING         1,998,415           TYPED         Serial Number           DRAWING         78142384           TYPED         0RAWING         1,838,318           2838386 (Serial Number           TYPED         Number

Trademark	Mark Drawing Code	Registration Number	Registration Date
		1798907 (Serial	
Tiny Toons Adventures	TYPED DRAWING	Number 74003346)	October 12, 1993
Harry Potter	TYPED DRAWING	2568097 (Serial Number 75881862)	May 7, 2002
Space Jam	TYPED DRAWING	2252286 (Serial Number 75140523)	June 15, 1999
	DESIGN PLUS WORDS, LETTERS, AND/OR	2252285 (Serial Number	
Space Jam	NUMBERS	75140504)	June 15, 1999

# EXHIBIT H **DISNEY'S TRADEMARKS**

Trademark	Mark Drawing Code	Trademark Registration No.	Trademark Registration Date
Mickey Mouse	10use Typed Drawing 315,056		7/17/34
Disney Princess	Standard Character Mark	I,037,788 (Serial Number 78743842)	4/13/76
Walt Disney	Typed Drawing	1,141,312	11/11/80
Mickey Mouse	Typed Drawing	1,115,389	4/28/81
Mickey Mouse	Typed Drawing	1,152,389	4/28/81
Pluto	Typed Drawing	1,152,383	4/28/81
Goofy	Typed Drawing	1,159,124	6/30/81
Donald Duck	Typed Drawing	1,161,868	7/21/81
Disney	Typed Drawing	1,162,727	7/28/81
Walt Disney Signature	Standard Character Mark	1,162,727 (Serial Number 78864123)	7/28/81
Disney	Typed Drawing	1,838,246 (Serial Number 78163606)	5/31/94
Pooh	Typed Drawing	2,623,099 (Serial Number 78159758)	9/24/02
Mickey Mouse	Design Only	2,704,887	4/8/03
Mickey Mouse Head Device	Design Only	2,781,693 (Serial Number 78637100)	11/11/03
Minnie Mouse	Design Only	2,700,619	3/25/03
Donald Duck	Design Only	2,700,620	3/25/03
Pooh	Design Only	2,704,888	4/8/03
Piglet	Design Only	2,700,618	3/25/03

	,		
Daisy Duck	Design Only	2,704,890	4/8/03
Pluto	Design Only	2,707,323	4/15/03
Goofy	Design Only	2,721,608	6/3/03
Tigger	Design Only	2,860,445	7/6/04
Walt Disney's Snow White & the Seven Dwarfs	Design Plus Words, Letters, and/or Numbers	2,891,463	10/5/04
Walt Disney's Sleeping Beauty	Design Plus Words, Letters, and/or Numbers	2,895,966	10/19/04
Mickey Mouse	Typed Drawing	3,006,349	10/11/05
Winnie the Pooh	Typed Drawing	3,024,287	12/6/05
Walt Disney's Cinderella	Design Plus Words, Letters, and/or Numbers	3,057,988	2/7/06
Donald Duck	Typed Drawing	3,120,077	9/26/06
Eeyore	Design Only	Serial Number 76587948	n/a
Eeyore	Typed Drawing	Serial Number 78159727	n/a
Minnie Mouse	Typed Drawing	Serial Number 78159805	n/a
Tinker Bell	Standard Character Mark	Serial Number 78911784	n/a
Tinker Bell	Design Only	Serial Number 78956939	n/a
Ariel	Standard Character Mark	Serial Number 77130150	n/a
Toy Story	Typed Drawing	2,520,404	12/18/01
Lilo & Stitch	Typed Drawing	2,811,097	3/29/01
Pinnocchio	Design Plus Words, Letters, and/or Numbers	2,920,964	1/25/05
Beauty and the Beast	Typed Drawing	2,961,693	6/14/05
Cars	Design Plus Words, Letters, and/or Numbers	3,178,664	11/28/06

Lightning McQueen	Standard Character Mark	Serial Number 78978557	n/a
Mater	Standard Character Mark	3,143,642	9/12/06

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"Plaintiffs"), on the one hand, and Defendants El Sol Trading and Woo Sung Lim (collectively "Defendants"), on the other hand, and good cause appearing therefor,

with respect to this action only, hereby:

ORDERS that this Consent Decree shall be and is hereby entered in the within action as follows: 1) This Court has jurisdiction over the parties to this action and over the subject

matter hereof pursuant to 17 U.S.C. § 101 et seq., 17 U.S.C. § 501, 15 U.S.C. § 11 1051 et seq., 15 U.S.C. § 1121, 28 U.S.C. §§ 1331 and 1338, and 28 U.S.C. § 12 1367. Service of process was properly made against Defendants, and each of 13 them.

Sanrio, Inc. is the owner of all rights in and to the copyright and trademark

registrations listed in Exhibits "A" and "B", attached hereto and incorporated herein by this reference, and the copyrights and trademarks associated with the same. 3) DC Comics is the owner of all rights in and to the copyright and trademark

- registrations listed in Exhibits "C" and "D", attached hereto and incorporated herein by this reference, and the copyrights and trademarks associated with the same.
- 4) DC Comics has granted and transferred to Warner Bros. Entertainment Inc. the right to supervise in the United States the merchandising and licensing of the copyrighted elements, trademarks, trade names and service marks incorporated in or associated with the DC Comics.
- 5) Warner Bros. Entertainment Inc. is the owner of all rights in and to the copyright and trademark registrations listed in Exhibits "E" and "F", attached hereto and

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incorporated herein by this reference, and the copyrights and trademarks associated with the same, Disney is the owner of all rights in and to the copyright and trademark registrations

listed in Exhibits "G" and "H" attached hereto and incorporated herein by this reference, and the copyrights and trademarks associated with the same. (The characters, images, trademarks and copyrights identified in Exhibits A, B, C, D, E,

F, G and H are collectively referred to herein as "Plaintiffs' Properties.") 7) Plaintiffs have expended considerable resources in the creation and commercial

exploitation of Plaintiffs' Properties on merchandise such as clothing and other products, and in the enforcement of their intellectual property rights in Plaintiffs' Properties.

8) Plaintiffs have alleged that the Defendants, and each of them, have made unauthorized uses of Plaintiffs' Properties or substantially similar likenesses or colorable imitations thereof.

9) The Defendants and their agents, servants, employees and all persons in active concert and participation with them who receive actual notice of the injunction are hereby restrained and enjoined from: a) Infringing Plaintiffs' copyrights and trademarks in Plaintiffs' Properties,

either directly or contributorily, in any manner, including generally, but not limited to manufacturing, importing, distributing, advertising, selling, offering for sale, any unauthorized product which features any of Plaintiffs' Properties ("Unauthorized Products"), and, specifically:

b) Importing, manufacturing, distributing, advertising, selling, offering for sale, the Unauthorized Products or any other unauthorized products which picture, reproduce, copy or use the likenesses of or bear a substantial similarity to any of Plaintiffs' Properties;

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c) Importing, manufacturing, distributing, advertising, selling, offering for sale, renting or offering to rent in connection thereto any unauthorized promotional materials, labels, packaging or containers which picture, reproduce, copy or use the likenesses of or bear a confusing similarity to

any of Plaintiffs' Properties;

- d) Engaging in any conduct that tends falsely to represent that, or is likely to confuse, mislead or deceive purchasers, the Defendants' customers and/or members of the public to believe, the actions of the Defendants, the products sold by Defendants, or the Defendants themselves are connected with Plaintiffs, are sponsored, approved or licensed by Plaintiffs, or are affiliated with Plaintiffs;
- e) Affixing, applying, annexing or using in connection with the importation, manufacture, distribution, advertising, selling, offering for sale, or other use of any goods or services, a false description or representation, including words or other symbols, tending to falsely describe or represent such goods as being those of Plaintiffs.
- Each side shall bear its own fees and costs of suit.
- 11) This Consent Decree shall be deemed to have been served upon Defendants, and each of them, at the time of its execution by the Court.
- 12) The Court finds there is no just reason for delay in entering this Consent Decree and, pursuant to Rule 54(a) of the Federal Rules of Civil Procedure, the Court directs immediate entry of this Consent Decree against Defendants.

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1	13) The Court shall retain jurisdiction of this action to entertain such further	
2	proceedings and to enter such further orders as may be necessary or appropriate to	
3	implement and enforce the provisions of this Consent Decree.	
4		
5	Dated:	-
6	Hon, Rylary Klausner	-
7	Judge, United States District Court for the Central District of California	
8	the contain District of Carrottina	
9	Presented By:	
10	J. Andrew Coombs, A Prof. Corp.	
11		
12	By:	
13	J. Andrew Coombs Nicole L. Drey	
14	Attorneys for Plaintiffs Sanrio, Inc., DC Comics, Warner Bros. Entertainment	
15	Inc. and Disney Enterprises, Inc.	
16	Woo Sung Lim	
17		
18	By: N.S.	
19	Woo Sung Lee	
20	Defendant and on behalf of El Sol Trading	
21	Law Offices of Dennis W. Chang	
. 22	Law Offices of Dennis W. Chang	
23	By:	
24	Dennis W. Chang Attorney for Defendants El Sol Trading And Woo Sung Lim	
25	And Woo Sung Lim	
26		
27		
28		
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Saurio, et al. v. El Sol Trading, et al.: Stipulated Consent Decree - 5 -